

# Divide and conquer recurrence

A **divide-and-conquer** algorithm is a recursive algorithm which

- ▶ **divides** a problem of size  $n$  into **subproblems**, each of **size  $n/b$**  (for simplicity, suppose  $b$  divides  $n$ )
- ▶ and **combines the solutions** of the subproblems into a solution of the original problem, using  **$g(n)$  extra operations**.

Then, if  $f(n)$  counts the **number of operations** used to solve the problem of size  $n$ ,

$$f(n) = af(n/b) + g(n).$$

# Maximum of a sequence of numbers

Algorithm for locating the maximum of  $a_1, a_2, \dots, a_n$ :

If  $n = 1$ , then the maximum is  $a_1$ .

If  $n > 1$ , split the sequence into two sequences of length  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$  each. Find and compare the maximum of each of the two smaller sequences; output the largest.

If  $n$  is even, then the number of operations is given by:

$$f(n) = 2f(n/2) + 1.$$

# Merge sort

The merge sort algorithm sorts a list of  $n$  elements:

First, **split the list** into two lists of length  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$  each. Then merge these lists into one sorted list; this **uses fewer than  $n$  comparisons**.

If  $n$  is even, then the number of operations is given by:

$$f(n) = 2f(n/2) + n.$$

# Fast multiplication of integers

In binary expansion, to multiply two  $2n$ -bit integers and split each of them into two blocks. The original multiplication is reduced to

three multiplications of  $n$ -bit integers, plus shifts and additions that use  $Cn$  operations (for some  $C$ ).

$$f(n) = 3f(n/2) + Cn.$$

# Fast multiplication of matrices

A **divide-and-conquer algorithm** uses seven multiplications of two  $(\frac{n}{2}) \times (\frac{n}{2})$  matrices and 15 additions of  $(\frac{n}{2}) \times (\frac{n}{2})$  matrices.

$$f(n) = 7f(n/2) + 15(n/2)^2.$$

**Standard multiplication** of two  $n \times n$  matrix requires  $g(n)$  operations where  $g(n)$  is  $O(n^3)$ .

What is the order of  $f(n)$ ?

# Asymptotic upper bound of $f$

Suppose  $a, c, d$  are real numbers and  $b$  is an integer such that:

$$a \geq 1, b > 1, c > 0, d \geq 0.$$

## Master Theorem (Section 8.3)

If  $f : \mathbb{N} \rightarrow \mathbb{R}$  is an increasing function such that

$$f(n) = af(n/b) + cn^d$$

for all  $n = b^k$ . Then

$$f(n) \text{ is } \begin{cases} O(n^d) & \text{if } a < b^d \\ O(n^d \log n) & \text{if } a = b^d \\ O(n^{\log_b a}) & \text{if } a > b^d. \end{cases}$$

# Applying the master theorem

For fast matrix multiplication:

$$f(n) = 7f(n/2) + 15(n/2)^2.$$

What is the order of  $f(n)$ ?

$$f(n) = 7f(n/2) + 15(n/2)^2$$

The conditions are  $a, c, d$  are real numbers and  $b$  is an integer such that:

$$a \geq 1, b > 1, c > 0, d \geq 0.$$

$$a = 7 \qquad \qquad \qquad \geq 1$$

$$b = 2 \qquad \qquad \qquad > 1$$

$$c = 15/4 \qquad \qquad \qquad > 0$$

$$d = 2 \qquad \qquad \qquad \geq 0$$

Now compare  $a$  and  $b^d$ :  $7 > 2^2$

Then

$$f(n) \text{ is } O(n^{\log_b a}) = O(n^{2.8}).$$



# Asymptotic upper bound of $f$

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# Solutions for linear homogeneous recurrence

Let  $c_1$  and  $c_2$  be real numbers and consider the equation

$$r^2 - c_1 r - c_2 = 0$$

with roots  $r_1$  and  $r_2$ .

## Theorem 1 (Section 8.2)

Suppose that  $r_1$  and  $r_2$  are distinct.

Then the sequence  $\{a_n\}$  satisfies the recurrence relation

$$a_n = c_1 a_{n-1} + c_2 a_{n-2}$$

if and only if there are constants  $\alpha_1, \alpha_2$  such that

$$a_n = \alpha_1 r_1^n + \alpha_2 r_2^n.$$

# Applying the linear recurrence theorem

For Fibonacci numbers:

$$f_n = f_{n-1} + f_{n-2}.$$

Give an explicit formula for  $f_n$ :

# Applying the linear recurrence theorem

For  $r^2 - 1r - 1 = 0$ , the roots are

$$r_1 = \frac{1+\sqrt{1+4}}{2} \text{ and } r_2 = \frac{1-\sqrt{1+4}}{2}.$$

Then

$$f_n = \alpha_1 \left( \frac{1 + \sqrt{5}}{2} \right)^n + \alpha_2 \left( \frac{1 + \sqrt{5}}{2} \right)^n$$

To find out  $\alpha_1, \alpha_2$ , solve the resulting equations when  $n = 0, 1$ :

## Applying the linear recurrence theorem

$$f_0 = 0 = \alpha_1 \left( \frac{1 + \sqrt{5}}{2} \right)^0 + \alpha_2 \left( \frac{1 - \sqrt{5}}{2} \right)^0 = \alpha_1 + \alpha_2$$

so  $\alpha_2 = -\alpha_1$ .

$$f_1 = 1 = \alpha_1 \left( \frac{1 + \sqrt{5}}{2} \right)^1 - \alpha_1 \left( \frac{1 - \sqrt{5}}{2} \right)^1 = \alpha_1 \sqrt{5}.$$

so  $\alpha_1 = 1/\sqrt{5}$  and  $\alpha_2 = -1/\sqrt{5}$ . Finally,

$$f_n = \frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^n$$