

# Recurrence relations

A **recurrence relation** for a sequence  $\{a_n\}$  is an equation that **expresses  $a_n$  in terms of** one or more of the **previous terms** of the sequence.

Sequence of Factorials

$$a_n = na_{n-1}$$

Geometric sequences

$$b_n = xb_{n-1}$$

Fibonacci sequence

$$f_n = f_{n-1} + f_{n-2}$$

# Initial conditions of a recurrence relation

The **initial conditions** specify the terms that **precede the terms** where the **recurrence relation** can take effect.

Sequence of Factorials:  $0! = 1$

$$a_n = na_{n-1} = n \cdot (n-1)! = n!$$

Geometric sequences:  $x_0 = 1$

$$b_n = xb_{n-1} = x \cdot x^{n-1} = x^n$$

Fibonacci sequence:  $f_0 = 0, f_1 = 1$

$$f_n = f_{n-1} + f_{n-2} = ?$$











# Well-defined sequence

For recursively defined sequences, we might not have a closed formula for each term  $a_n$ . Still:

The sequence is **well defined**. In other words,  
**for every positive integer  $n$ , the value of  $a_n$**   
**is determined in an unambiguous way.**

# Fibonacci numbers

A pair of rabbits does not breed until they are 2 months old. After they are 2 months old, each pair of rabbits produces another pair each month. Assume that no rabbits ever die.

| Reproducing pairs<br>(at least two months old)                                    | Young pairs<br>(less than two months old)   | Month | Reproducing<br>pairs | Young<br>pairs | Total<br>pairs |
|---|---|-------|----------------------|----------------|----------------|
|   |  | 1     | 0                    | 1              | 1              |
|   |  | 2     | 0                    | 1              | 1              |
|  |  | 3     | 1                    | 1              | 2              |
|  |  | 4     | 1                    | 2              | 3              |
|  |  | 5     | 2                    | 3              | 5              |
|  |  | 6     | 3                    | 5              | 8              |

**FIGURE 1** Rabbits on an Island.

# Codeword enumeration

A string of decimal digits is a **valid codeword** if it contains an **even number of 0 digits**.

- ▶ 1230407869 is **valid**,
- ▶ 120987045608 is **not valid**.

$$a_n = 9 \cdot a_{n-1} + (10^{n-1} - a_{n-1}) = 8a_{n-1} + 10^{n-1}$$

**Idea:** Take out first digit and **count all possible substrings** divided into: **valid and not-valid**.

# Recursively defined structures

A **rooted tree** consists of a **set of vertices** containing a **distinguished vertex** called the root, and **edges connecting these vertices** (no loops or cycles allowed).

The set of **rooted trees**

**BASIS STEP:** A **single vertex  $r$**  is a rooted tree.

**RECURSIVE STEP:** Suppose that  $T_1, T_2, \dots, T_n$  are disjoint rooted trees, then **the following is also a rooted tree:**

A root  $r$ , which is not in any of  $T_1, T_2, \dots, T_n$ , and **add an edge from  $r$  to each of the roots of  $T_1, T_2, \dots, T_n$ .**

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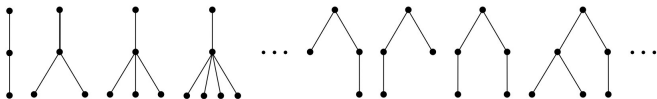
Basis step



Step 1



Step 2



**FIGURE 2** Building Up Rooted Trees.

# Recursive and Iterative algorithms

## **RECURSIVE:**

Successively **reduce the desired computation** to the evaluation of the algorithm at **smaller integers**.

## **ITERATIVE:**

**Start** with the output of the algorithm at **the base cases**; and successively **apply the recursive definition** to find the solution of the algorithm at **larger integers**.



# Recursive and Iterative Fibonacci numbers

## ALGORITHM 7 A Recursive Algorithm for Fibonacci Numbers.

```
procedure fibonacci(n: nonnegative integer)
if n = 0 then return 0
else if n = 1 then return 1
else return fibonacci(n - 1) + fibonacci(n - 2)
{output is fibonacci(n)}
```

## ALGORITHM 8 An Iterative Algorithm for Computing Fibonacci Numbers.

```
procedure iterative fibonacci(n: nonnegative integer)
if n = 0 then return 0
else
  x := 0
  y := 1
  for i := 1 to n - 1
    z := x + y
    x := y
    y := z
  return y
{output is the nth Fibonacci number}
```

# Recursive vs. Iterative

- ▶ Use iterative algorithm:

If you will compute all or most of previous terms to find solution.

E.g. Fibonacci numbers.

- ▶ Use recursive algorithm:

If you will need only need a few of previous solutions.

E.g. Factorization of integers into prime factors.

# Recursive algorithm for modular exponentiation

## ALGORITHM 4 Recursive Modular Exponentiation.

```
procedure mpower(b, n, m: integers with  $b > 0$  and  $m \geq 2, n \geq 0$ )  
if  $n = 0$  then  
    return 1  
else if  $n$  is even then  
    return  $\text{mpower}(b, n/2, m)^2 \bmod m$   
else  
    return  $(\text{mpower}(b, \lfloor n/2 \rfloor, m)^2 \bmod m \cdot b \bmod m) \bmod m$   
{output is  $b^n \bmod m$ }
```

# Proving correctness of a recursive algorithm

## ALGORITHM 4 Recursive Modular Exponentiation.

```
procedure mpower(b, n, m: integers with  $b > 0$  and  $m \geq 2, n \geq 0$ )  
if  $n = 0$  then  
    return 1  
else if  $n$  is even then  
    return mpower(b,  $n/2$ , m)2 mod m  
else  
    return (mpower(b,  $\lfloor n/2 \rfloor$ , m)2 mod m · b mod m) mod m  
    {output is  $b^n \bmod m$ }
```

Fix  $b$  and  $m$  integers with  $b > 0$  and  $m \geq 2$ . Let

$P(n)$  : Algorithm *mpower*( $b, n, m$ ) outputs  $b^n \pmod{m}$

To prove  $\forall n \in \mathbb{N} P(n)$  is true, use **strong induction**.

# Recall Strong Induction

## BASIS STEP:

Verify that the proposition  $P(1)$  is true.

## INDUCTIVE STEP:

Show that the conditional statement

$$[P(1) \wedge P(2) \wedge \cdots \wedge P(k)] \rightarrow P(k + 1)$$

is true for all  $k$  positive integer.