

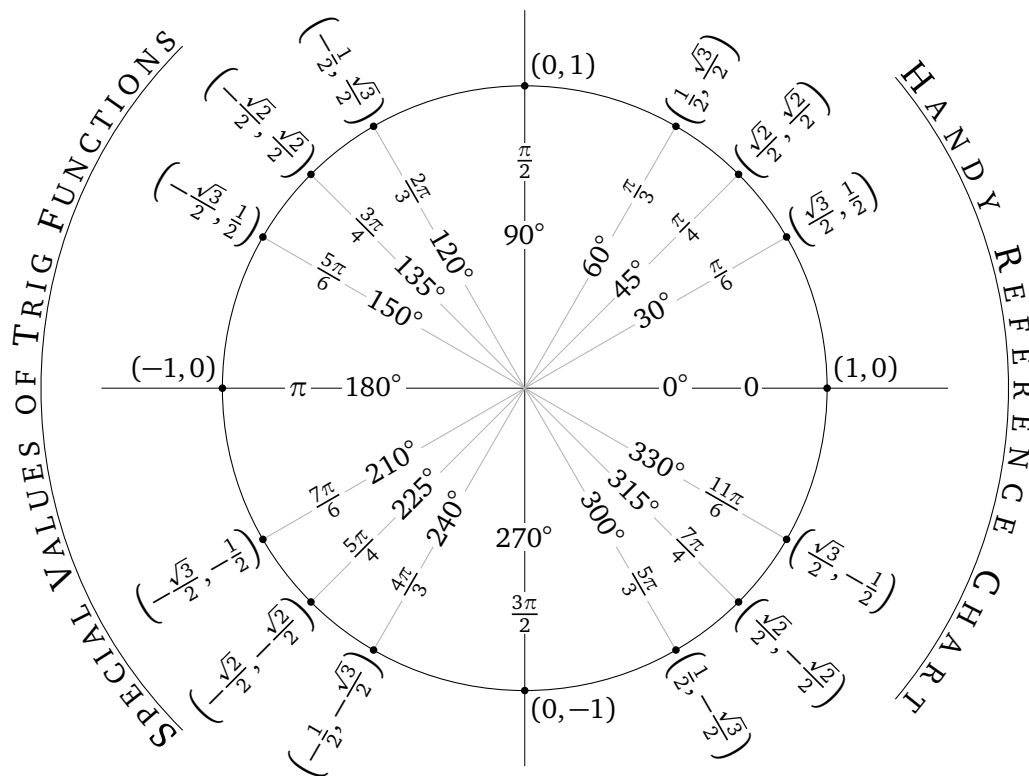
# MATH 1553

## MIDTERM EXAMINATION 3

Name		Section	
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Please **read all instructions** carefully before beginning.

- Each problem is worth 10 points. The maximum score on this exam is 50 points.
- You have 50 minutes to complete this exam.
- There are no aids of any kind (notes, text, calculator, . . .) allowed.
- You may use the chart below to find unit vector coordinates.
- Please show your work unless instructed otherwise.
- You may cite any theorem proved in class or in the sections we covered in the text.
- Good luck!



## Problem 1.

[2 points each]

In this problem, if the statement is always true, circle **T**; otherwise, circle **F**. Let  $A$  and  $B$  be  $n \times n$  matrices with real entries.

- a)    **T**      **F**      For  $c \neq 0$ ,  $\det(cA) = c \det(A)$ .
- b)    **T**      **F**      If  $v$  is a complex eigenvector of  $A$  then so is  $\bar{v}$ .
- c)    **T**      **F**      If  $B$  has real eigenvalues whose geometric multiplicity adds to  $n$ , then  $B$  is diagonalizable.
- d)    **T**      **F**      If  $A$  is not diagonalizable then  $A$  has a non-real eigenvalue.
- e)    **T**      **F**      The zero vector is an eigenvector of  $A$  with eigenvalue 0.

## Solution.

- a) **False:** The constant factors out with an  $n$ -th power:  $\det(cA) = c^n \det(A)$ .
- b) **True.** Complex eigenvectors come in conjugate pairs.
- c) **True.**
- d) **False:** For example  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  is not diagonalizable and has no non-real eigenvalue.
- e) **False.** Eigenvectors by definition must be non-zero vectors.

## Problem 2.

Consider the matrix

$$A = \begin{pmatrix} 1 & -1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 2 \end{pmatrix}.$$

- a) [4 points] Find the real eigenvalues of  $A$
- b) [3 points] For each real eigenvalue of  $A$ , find a basis for the corresponding eigenspace.
- c) [3 points] Is  $A$  diagonalizable? Why or why not?

### Solution.

- a) We compute the characteristic polynomial by expanding along the last row:

$$\begin{aligned} f(\lambda) &= \det \begin{pmatrix} 1-\lambda & -1 & 0 & 0 \\ 1 & 1-\lambda & 0 & 0 \\ 0 & 0 & 2-\lambda & 1 \\ 0 & 0 & 0 & 2-\lambda \end{pmatrix} \\ &= (2-\lambda) \det \begin{pmatrix} 1-\lambda & -1 & 0 \\ 1 & 1-\lambda & 0 \\ 0 & 0 & 2-\lambda \end{pmatrix} \\ &= (2-\lambda)^2 ((1-\lambda)^2 + 1) \\ &= (2-\lambda)(\lambda^2 - 2\lambda + 2) \end{aligned}$$

The roots are 2 (with multiplicity 2) and  $\lambda = 1 \pm i$  (with multiplicity 1). So this matrix has only one real eigenvalue: 2.

- b) We compute the 2-eigenspace by solving  $(A - 2I)x = 0$ :

$$A - 2I = \begin{pmatrix} -1 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \xrightarrow{\text{rref}} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

The parametric vector form of the general solution is  $\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = x_3 \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$ , so a basis for

the 2-eigenspace is  $\left\{ \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \right\}$ .

### Problem 3.

In this problem, briefly explain your answers.

- a) [2 points] If  $A$  and  $B$  are similar and  $\det(B) = 3$ , compute  $\det(A)$
- b) [3 points] Suppose  $v$  and  $w$  are eigenvectors of  $A$  both with eigenvalue 2. Is  $3v + 2w$  an eigenvector of  $A$ ? Why or why not?
- c) [3 points] Find a  $2 \times 2$  rotation-scaling matrix  $C$  that rotates by  $45^\circ$  and scales by  $\sqrt{2}$ .
- d) [2 points] Suppose  $A$  is  $4 \times 4$  matrix with a 2-eigenspace of dimension 3 and  $\text{Nul}(B)$  of dimension 1. Find a diagonal matrix that is similar to  $A$ .

### Solution.

- a) This means there is invertible  $P$  such that  $A = PBP^{-1}$ , then
$$\det(A) = \det(P) \det(B) \det(P^{-1}) = (\det(P) \det(P^{-1})) \det(B) = \det(B).$$
- b) We can simply compute  $A(3v + 2w) = 3Av + 2Aw = 6v + 4w = 2(3v + 2w)$ . Thus,  $3v + 2w$  is an eigenvector with eigenvalue 2.
- c) Using the chart on the front of the exam:  $Ae_1 = \begin{pmatrix} \cos(\pi/4) \\ \sin(\pi/4) \end{pmatrix}$  and  $Ae_2 = \begin{pmatrix} \cos(3\pi/4) \\ \sin(3\pi/4) \end{pmatrix}$ .  
Therefore, the matrix is  $\begin{pmatrix} \cos(3\pi/4) & \cos(\pi/4) \\ \sin(3\pi/4) & \sin(\pi/4) \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}$ .  
Alternatively, you can reference a theorem or slide shown in class.
- d) First, since  $\text{Nul}(A)$  has dimension 1, then the 0-eigenspace of  $B$  has dimension 1. Thus, the geometric multiplicities of 0 and 2 add up to 4; therefore, if a diagonal matrix is similar to  $A$ , then there are 3 diagonal entries equal to 2 and the rest are all zeros.

Eg.  $A$  is similar to  $\begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 2 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix}$ .

## Problem 4.

$$\text{Let } A = \begin{pmatrix} .8 & .4 \\ .2 & .6 \end{pmatrix}$$

a) [5 points] Diagonalize the matrix  $A = PDP^{-1}$  (Obtain matrices  $P$  and  $D$ )

b) [2 points] Compute  $A^3 \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

c) [2 points] Give a formula for  $A^n \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

d) [1 points] Give a formula for  $D^n$ .

### Solution.

a) First (2 points): Computing the characteristic polynomial we have

$$\det \begin{pmatrix} .8 - \lambda & .4 \\ .2 & .6 - \lambda \end{pmatrix} = (\lambda - .8)(\lambda - .6) - .08 = \lambda^2 - 1.4\lambda + .48 - 0.8$$

Using the quadratic formula to find the eigenvalues we obtain

$$\lambda = \frac{1.4 \pm \sqrt{1.4^2 - 4(.4)}}{2} = \frac{1.4 \pm .6}{2} = \begin{cases} 1 \\ .4 \end{cases}$$

Second (2 points): Find eigenvectors for both eigenvalues. For  $\lambda = 1$ :

$$A - I = \begin{pmatrix} -.2 & .4 \\ \star & \star \end{pmatrix} \Rightarrow \begin{pmatrix} .4 \\ .2 \end{pmatrix} \text{ is an eigenvector}$$

For  $\lambda = .4$ :

$$A - .4I = \begin{pmatrix} .4 & .4 \\ \star & \star \end{pmatrix} \Rightarrow \begin{pmatrix} .4 \\ -.4 \end{pmatrix} \text{ is an eigenvector}$$

Third (1 point): Write down the decomposition  $A = PDP^{-1}$  where

$$P = \begin{pmatrix} .4 & .4 \\ .2 & -.4 \end{pmatrix} \quad D = \begin{pmatrix} 1 & 0 \\ 0 & .4 \end{pmatrix}$$

b) We can see that  $.4 \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \begin{pmatrix} .4 \\ -.4 \end{pmatrix}$  is a multiple of the eigenvector we found for the eigenvalue  $.4$ . So that  $A \begin{pmatrix} 1 \\ -1 \end{pmatrix} = .4 \begin{pmatrix} 1 \\ -1 \end{pmatrix}$ . Applying this equality three times we get  $A^3 \begin{pmatrix} 1 \\ -1 \end{pmatrix} = (.4)^3 \begin{pmatrix} 1 \\ -1 \end{pmatrix}$ .

c) In general, for  $A^n \begin{pmatrix} 1 \\ -1 \end{pmatrix} = (.4)^n \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

d) Since  $D = \begin{pmatrix} 1 & 0 \\ 0 & .4 \end{pmatrix}$ , we have that  $D^n = \begin{pmatrix} 1 & 0 \\ 0 & .4^n \end{pmatrix}$ .

## Problem 5.

Consider the scaling-rotation matrix  $C = \begin{pmatrix} \frac{-1}{2} & \frac{-\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{-1}{2} \end{pmatrix}$  and  $A = \begin{pmatrix} \frac{-1+\sqrt{3}}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{-1-\sqrt{3}}{2} \end{pmatrix}$ .  
For the following problems, you can use that

$$A = \begin{pmatrix} 2 & 0 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} \frac{-1}{2} & \frac{-\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{-1}{2} \end{pmatrix} \begin{pmatrix} \frac{1}{2} & 0 \\ \frac{-1}{2} & 1 \end{pmatrix}$$

- [1 point] Are  $A$  and  $C$  similar? Why or why not?
- [3 points] Find a complex eigenvalue of  $A$  with its eigenvector.
- [2 points] Write down the formula for the matrix of a rotation by angle  $\theta$  composed with scaling by  $c$ . (No justification needed).
- [2 points] By what factor does  $C$  scale?
- [2 points] By what angle does  $C$  rotate?

## Solution.

a) Yes. Because  $\begin{pmatrix} 2 & 0 \\ 1 & 1 \end{pmatrix}$  and  $\begin{pmatrix} \frac{1}{2} & 0 \\ \frac{-1}{2} & 1 \end{pmatrix}$  are inverse of each other.

b) Using the formula from class we have that  $A = PCP^{-1}$  where

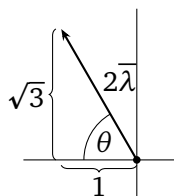
$$P = \begin{pmatrix} \operatorname{Re} v & \operatorname{Im} v \end{pmatrix} \quad C = \begin{pmatrix} \operatorname{Re} \lambda & \operatorname{Im} \lambda \\ -\operatorname{Im} \lambda & \operatorname{Re} \lambda \end{pmatrix}.$$

For some eigenvector  $v$  with eigenvalue  $\lambda$ . So one eigenvector is  $\begin{pmatrix} 2 \\ 1+i \end{pmatrix}$  with eigenvalue  $\frac{-1-\sqrt{3}i}{2}$ .

c) The matrix is  $c \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix}$ .

d) The matrix  $C$  has already the form above with  $\theta = 2\pi/3$  and  $c = 1$ , so scaling is by 1.

e) Alternatively, if we use the decomposition of  $A$ , we need to find the argument of  $\bar{\lambda} = \frac{-1}{2} + \frac{\sqrt{3}i}{2}$ . We draw a picture:



$$\theta = \frac{\pi}{3} \text{ (trig identity)}$$

$$\text{argument of } \bar{\lambda} = \pi - \theta = \frac{2\pi}{3}$$

The matrix  $C$  rotates by  $2\pi/3$ .

## Scoring Table

Please do not write on this area.

1	2	3	4	5	Total

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[Scratch work]